Solving Connected Dominating Set Variants Using Integer Linear Programming

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Bachelorarbeit

Erklärung

Hiermit versichere ich, dass ich diese Bachelorarbeit selbstständig verfasst habe. Ich habe dazu keine anderen als die angegebenen Quellen und Hilfsmittel verwendet.

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1 Abstract

Maximizing photosynthetic outcome is one of many different objectives of a plant. In this thesis we present and evaluate a method to predict an optimal venation pattern for leafs based on the minimal number of leaf cells that have to be transformed into vein cells to supply the entire leaf with nutrients and water. The model only focuses on the number of cells and disregards other aspects of the vascular system, like the vein hierarchy. To implement this model we use a special variant of the Minimum Dominating Set Problem which we implemented using Integer Linear Programming. We call this variant to model the vascular system the Minimum Connected rooted k-hop Dominating Set Problem. Our results show that our implementation is not capable of solving larger instances in a reasonable amount of time. In comparison to an implementation in Answer Set Programming our implementation performs worse using the instances that represent plant leafs. We present a detailed comparison between both versions and tested instances of different structure and size. We analyzed why the Integer Linear Programming implementation performs bad on the leaf graphs. The tests also reveal that on randomly generated graphs the Integer Linear Programming implementation outperformes the

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2 Introduction

Plants try to optimize their architecture to fulfill different objectives. One of it is to maximize the photosynthetic output. Another one is to minimize the cost to build the vascular system [4]. To maximize the photosynthetic output plants optimize different parameters. As increasing one parameter can reduce another one, many parameters can not be optimized at the same time [4], [14]. In this thesis we focus on one particular mechanism how plants can optimize their photosynthetic output.

To generate photosynthetical gains plants need sunlight, carbondioxid and water. Water and nutrients are supplied via the vascular system. Xylem transports water to the leaves where the mesophyl cells produce sugars. These sugars are carried out to the whole plant by phloem, a tissue specialized on transporting sugars. Xylem and phloem cells are not able to generate sugars, but they are mandatory to supply water to the mesophyl cells and to transport sugars. To be satisfied with the amount of water mesophyl cells have access to, they must not be more than two to three cells away from a xylem cell. In this range water can flow from the xylem cells through mesophyl cells that are not next to a xylem cell via diffusion. At the same time sugars can be transported away from the mesophyl cells and supplied to the phloem if there is a phloem cell in the range of two to three cells [13, p. 469].

To produce as much sugar as possible the plant can try to (driven by evolutionary processes) maximize the number of mesophyl cells by minimizing the number of vein cells. In this thesis we describe a method to reproduce an optimal venation pattern that minimizes the number of vein cells with respect to the constraint that all mesophyl cells need to be in a fixed range to vein cells. Leaf veins have a hierarchy. In general there is at least one thick major vein branch and several narrow minor branches. This hierarchy is completely disregarded in our problem formulation. Environmental circumstances also influence the venation pattern [16]. These influences on the venation are also completely disregarded in our model. The input instance is given by an undirected graph $G = (V, E)$ that represents a leaf. The set of vertices V represents the leaf cells while the set of edges E represents the connections between the leaf cells in the form of plasmodesmata. To find an optimal pattern we use a special variant of the dominating set problem. For this problem we present an Integer Linear Programming (ILP) formulation and an implementation in a branch and cut framenwork.

The Dominating Set problem and several variants are NP-hard [9]. For our specific case we demand connectivity between the members of the set. This connectivity in ILP formulations is subject of different prublications as it is not trivial. Huynh [10] presents in her bachelors thesis an alternative to ILPs. She implemented an algorithm for our problem using Answer Set Programming (ASP). For larger input instances the ASP version did not create optimal solutions in a reasonable amount of time. Huynh [10] compared the runtime from an ILP version to the runtime from her ASP version for the case where the dominating set does not need to be connected. Her tests reveal that for this particular problem the ILP version performes significantly better.

The goal of this thesis is to formulate an ILP and to evaluate wether if this performs better on our input graphs. We compare the ASP version with an ILP formulation that was created in this thesis. Contrary to the presumption that the ILP version could generate

solutions faster, on our input instances the ASP version is significantly faster. However the ILP version outperformes the ASP version on random graphs. The different characteristics and the runtime for the graphs can be taken from the results section. In the discussion section we review which characteristics are responsible for the differences in the runtime and what effect initiates them. In Section 2 we will give a short introduction in ILP. Additionally important defintions are stated. After that in Section 3 we define the methods to find an optimal venation pattern. Section 4 demonstrates the implementation. At last in Section 5 and Section 6 we present the results followed by a discussion on the effectiveness and limitations of the ILP solution and which characteristics graphs hold to perform either better with the ILP version or with the ASP version.

3 Preliminaries

3.1 Linear Programming

Linear programming is a technique to minimize linear functions. The following definition is based on the book M. Fischetti. *Introduction to Mathematical Optimization* [7].

A linear program (LP) problem consists of a linear objective function that is minimized with respect to a set of linear inequalities.

Linear programs can be expressed as

$$\min\{c^T x : Ax \geq b, x \geq 0\}$$

where $b \in \mathbb{R}^m$ and $c \in \mathbb{R}^n$ are constant vectors. The matrix $A \in \mathbb{R}^{m \times n}$ contains the coefficients of the m inequalities. We minimize the objective function $c^T x \in \mathbb{R}$. The vector inequality $Ax \geq b$ has to be satisfied for a valid solution. The vector $x \in \mathbb{R}^n$ describes possible solutions. If $x \in \mathbb{R}^n$ satisfies all inequalities it is called a feasible solution. A solution x^* is optimal if it respects all inequalities and is minimal.

Integer linear programs (ILPs) are linear programs with the additional restriction that all variables have to be integers: $x \in \mathbb{Z}^n$. The decision variant of an ILP is NP-complete [9]. Each row j of $Ax \geq b$ can be expressed as the sum $\sum_{i=1}^{n} a_{ij} x_i \geq b_j$. The objective function can be expressed as $\sum_{i=1}^{n} c_i x_i$. In this thesis we use this notation as we perceive it as more readable. Combinatorial optimization problems can be modeled with ILPs. Every variable $x_i \in \{0, 1\}$ denotes a possible decision to include item $i \in \{1, ..., n\}$ in the solution.

3.2 Definitions

Definition 1 (Neighborhood). Given an undirected graph $G = (V, E)$. Let $N(v)$ denote the neighborhood of a vertex v. $N(v)$ can formally be described as follows:

$$w \in N(v) \Leftrightarrow \{v, w\} \in E$$

Definition 2 (Dominating Set). Given an undirected Graph $G = (V, E)$ a dominating set is a subset $D \subset V$ such that each vertex $v \in V$ is either included in the dominating set or adjacent to at least one vertex which is included in the dominating set. For a dominating set D the following expression is valid

$$\forall v \in V \setminus D : \exists u \in D, u \in N(v)$$

Definition 3 (k-Neighborhood). The neighborhood of a single vertex $N(v)$ is defined above. Let the neighborhood of a set of vertices $W \subset V$ be defined as

$$N(W) := \bigcup_{u \in W} N(u)$$

Let $k \in \mathbb{N}$. With help of this definition the k-neighborhood $N_k(v)$ of a single vertex $v \in V$ can recursively be defined as:

$$N_k(v) := N(N_{k-1}(v)) \setminus v$$

whereas $N_1(v) = N(v)$. This means that $N_k(v)$ is a set of all vertices which can be reached with at most k steps starting from v.

Definition 4 (k-hop Dominating Set). A k-hop dominating set is a subset $D \subset V$ such that for each vertex $v \in V \setminus D$ there exists a path of length $l \leq k$ between v and at least one vertex $d \in D$. Thus D is a k-hop dominating set if it satisfies the following requirement:

$$\forall v \in V \setminus D : \exists u \in D, u \in N_k(v)$$

This means that each vertex is either part of D or in $N_k(w)$ for any $w \in D$.

Definition 5 (Connected k-hop Dominating Set). A k-hop dominating set D is a connected k-hop dominating set if the induced subgraph $G[D]$ is connected.

Definition 6 (Rooted Connected k-hop Dominating Set). Let $v \in V$ be the *root*. A rooted connected k-hop dominating set D is as connected k-hop dominating set which also includes v.

4 Methods

We represent a plant's leaf as an undirected graph $G = (V, E)$. Each vertex v represents a leaf cell whereas a root v_r is predefined. Leaf cells are connected to its neighboring cells via plasmodesmata. Plasmodesmata are microscopic channels that link plant cells, enabling transport of nutrients and water amongst of other things. Those connections are represented by the edges E. We then look for a minimum set of nodes such that the whole leaf can still be supplied with water and the nutrients can be collected. For this purpose these vein cells as well as the root need to be connected. Those cells form our solution for a rooted connected k-hop dominating set D, whereas the parameter k denotes the maximal allowed path length between a vein cell and a non-vein cell.

We use a node based ILP formulation to solve this special variant of the Dominating Set problem. We start by introducing a formulation for the general k-hop Dominating Set problem. As the objective function for our special variant remains the same, we then add constraints in a stepwise manner until we can present an ILP formulation for the Rooted Connected k-hop Dominating Set problem.

As our implementation is node based we omit decision variables for edges, and instead only assign a variable $x_v \in \{0, 1\}$ for every $v \in V$, with the interpretation $x_v = 1 \Leftrightarrow v \in D$.

4.1 Minimum Dominating Set

As we try to minimize the number of vertices in the dominating set our ILP is given as:
objective target:

$$\min \sum_{v \in V} x_v \tag{1}$$

subject to:

$$\sum_{w \in N(v)} x_w + x_v \geq 1, \forall v \in V \tag{2}$$

The family of inequalities (2) says that each vertex itself or at least one of its neighbors has to be included in the dominating set.

4.2 Minimum k-hop Dominating Set

The objective target for this problem is the same as (1). But the family of inequalities (2) is not valid for this case. Instead another family of inequalities is valid:

$$\sum_{w \in N_k(v)} x_w \geq x_v, \forall v \in V \tag{3}$$

This family of inequalities serves to model the requirement that each vertex or at least one member of the k-neighborhood has to be included in the dominating set. For the case $k = 1$ this family is the same as (2).
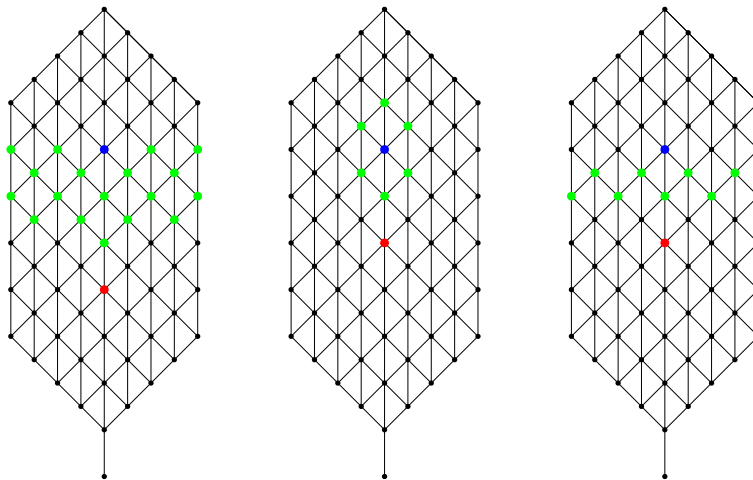
Figure 1: Illustration of vertex separators. In all three pictures the set of green nodes separates the blue and the red node. In the middle and on the right picture minimal separators are illustrated. If one of the green nodes is turned into a black node, the green set would not separate the blue and the red node anymore.

4.3   Connectivity

To enforce connectivity (using ILP) there are different approaches. As this is not trivial there have been many publications [1], [8], [2], [3], [17], [6] concerning this issue in the past years.

4.3.1   Vertex separators

One approach is to use so called vertex separators. Bomersbach et al. [1] and Fischetti et al. [8] used this approach to create ILP based algorithms to solve other graph theoretical optimization problems which require the solution to be connected. Bomersbach et al. [1] presented an ILP formulation to solve the Connected Maximum Coverage problem and Fischetti et al. [8] proposed ILP formulations for different variants of the Steiner Tree problem. As Bomersbach et al. [1] refers to Fischetti et al. [8] in terms of the connectivity constraints, both ILP formulations use the same constraints to enforce connectivity. Bomersbach et al. [1] compared the runtime of this implementation to previous proposed exact algorithms and to greedy approaches for the Connected Maximum Coverage problem. In all test cases this implementation is significantly faster than all other exact algorithms. While in some cases the greedy algorithm is slightly faster, the proposed algorithm is more accurate. The algorithm from [8] significantly improved the runtime of an exact solver for all the different Steiner Tree problem variants and their proposed implementation won most of the different categories of the 11th DIMACS challenge on steiner trees.

Let $v, w \in V$. A v-w-separator is a subset $S_{v,w} \subset V$ such that $G[V - S_{v,w}]$ has no path between v and w. A minimal v-w-separator $\hat{S}_{v,w}$ is a v-w-separator where no vertex can be removed. That is, $\hat{S}_{v,w} \setminus \{y\}$ is not a separator for v and w. Let $\mathcal{S}(v, w)$ denote the

family of all minimal v-w-separators.

In [1] and [8] the following family of inequalities is used to enforce connectivity:

$$x_v + x_w \leq \sum_{u \in S_{v,w}} x_u + 1, \forall v, w \in V, v \neq w, \forall S_{v,w} \in \mathcal{S}(v,w) \tag{4}$$

This inequalities require that for each combination of two vertices v and w, if both vertices included in the dominating set, at least one vertex from all minimum v-w-separators has also to be included.

In contrast to the problem from [1] we have a predefined root node which must be part of the solution. Carvajal et al. [3] introduced ILP formulations for different problems motivated by forest planning. The objective of this problems is to find a profit maximizing harvest schedule, while old-growth-forest patches have to be conserved. Input instances are given as undirected graphs, with areas of the forest as nodes and edges between adjacent areas. There is one particular case where a predefined area is to be preserved plus all preserved areas need to be connected. This is very similar to our problem, i.e., that there is a predefined root vertex and the requirement that all those vertices, which are included in the solution, need to be connected. Also minimal vertex separator constraints were used to enforce connectivity, but if a root node was present only those constraints which separate the connected component, that includes the root node, and all the other components were taken into account. The authors state that rooted inequalities are stronger as this was commonly noted in the literature on steiner tree problems. For our case we also only use constraints

$$x_v + x_w \leq \sum_{u \in S_{v,w}} x_u + 1, \forall v, w \in V, v \neq w, \forall S_{v,w} \in \mathcal{S}(v,r) \tag{5}$$

for minimal vertex separators that include the root node.

The number of all minimal vertex separator constraints is potentially exponential [1]. Therefore Bomersbach et al. [1], Fischetti et al. [8] and Carvajal et al. [3] treated these constraints as lazy constraints, which means in particular that none of those constraints are included in the initial model. Instead iteratively integer solutions are resolved [1], [8]. If such a solution is not connected, in [1] and [8] minimal vertex separators that separate single components are identified via a linear time algorithm, while in [3] a classical max-flow min-cut theorem is used to identify violated constraints.

Our algorithm to identify and add violated constraints is analogous the one from [1] with

the exception that we only search for violated constraints that include the root node.

Algorithm 1: Add violated constraints

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1 $D^* := \{v|x_v = 1\}$
2 $G' := G[D]$
3 **if** G' *is not connected* **then**
4 $\quad$ $C :=$ set of all disjunct connected components
5 $\quad$ $c_r :=$ connected component that contains v_r
6 $\quad$ **for** *all components c in $C \setminus \{c_r\}$* **do**
7 $\quad\quad$ $v :=$ any node from c
8 $\quad\quad$ $s_1 :=$ findMinVertexSeparator(G, D^*, $v \in c$, v_r, c_r)
9 $\quad\quad$ $s_2 :=$ findMinVertexSeparator(G, D^*, v_r, $v \in c$, c)
10 $\quad\quad$ **for** *all $w_1 \in c$* **do**
11 $\quad\quad\quad$ add the following constraint to the model: $\sum_{s \in s_1} x_s \geq x_{w_1} + x_{v_r} - 1$
12 $\quad\quad$ **end**
13 $\quad\quad$ **for** *all $w_2 \in c_r$* **do**
14 $\quad\quad\quad$ add the following constraint to the model: $\sum_{s \in s_2} x_s \geq x_{w_2} + x_v - 1$
15 $\quad\quad$ **end**
16 $\quad$ **end**
17 **end**

This algorithm is executed each time an integer solution is resolved (using a branch and cut framework). Let D^* be an integer, not necessarily connected, solution. Let C be the set of all connected components from the graph $G' = G[D^*]$ and let c_r be the component that contains the root node v_r. Then the algorithm detects for all single components $c \in C \setminus \{c_r\}$ one minimal vertex separator that separates c and the component c_r. The constraints concerning these separators are then added to the model and the cutting plane procedure continues. It is important to mention that there is in general more than one minimal vertex separator which separates two arbitrary components. The Algorithm 2 detects exactly one, i.e., the separator, that is closest to the first component. By executing the Algorithm 2 with every component $c \in C \setminus \{c_r\}$ as first component and c_r as second component and vice versa, we ensure that a minimal vertex separator that is closest to each of the components is added.

Algorithm 2: findMinVertexSeparator(G, D^*, $v \in c_v$, w, c_v)

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1 $N(c_v) :=$ neighbors of nodes of c_w in G
2 $G' := G$ with all edges between vertices in $c_v \cup N(c_v)$ removed
3 $R_w :=$ vertices that can be reached from w in G'
4 **return** $N(c_v) \cap R_w$

The algorithm above detects a minimal vertex separator that separates the node w and the connected component c_v. It is taken from [1] although Bomersbach et al. [1] took it initially from Fischetti et al. [8]. With this method the minimal vertex separator is found that is closest to the component c_v. In figure 2 one can see an illustration of the process. Suppose the red marked nodes are an unconnected solution D^*. The set of blue marked nodes is the minimal separator that is closest to the connected component on the upper graph while the set of green marked nodes is the minimal separator that is closest to
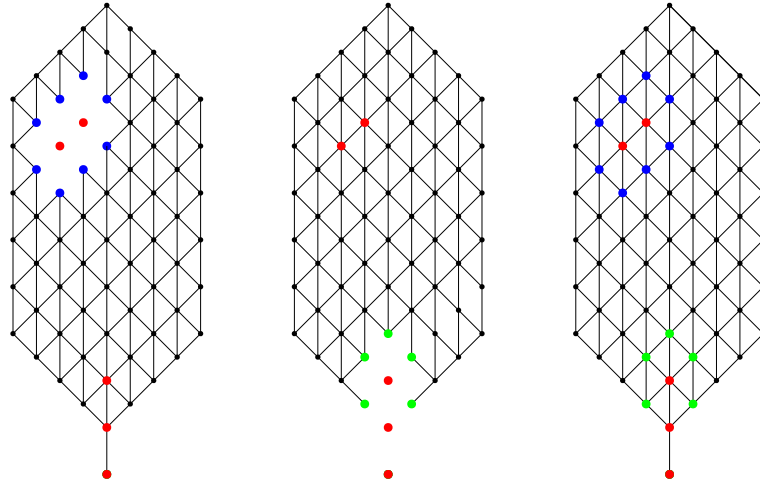
Figure 2: Illustration of Algorithm 2

the component containing the root. On the picture in the middle and the right one can see the line 2 of the algorithm 2. As one can see, after removing all edges between the components and its neighborhood the blue marked nodes on the middle picture and the green marked nodes on the right picture are still reachable from the other component. Therefore the algorithm returns this selection of nodes as minimal vertex separator.

We add an additional constraint to the model to tighten up the feasible region and to prevent unnecessary iterations.

$$x_v \leq \sum_{w \in N(v)} x_w, \forall v \in V \setminus \{v_r\} \tag{6}$$

This constraint demands that for each vertex, which is part of the dominating set, at least one of its neighbors is also included. In [1] and [8] this constraint is also part of the model. As the neighborhood of a single vertex is always a minimal vertex separator that separates this node from any other vertex outside the neighborhood, this constraint is valid. We exclude the root node v_r to prevent that for the case of a valid solution that only contains one single vertex another one is added unnecessarily.

4.3.2 Miller-Tucker-Zemlin Constraints

There are also formulations to enforce connectivity that only need a polynomially number of constraints. These constraints are not added lazily but instead all added initially. There exist some approaches that are based on the construction of a spanning tree. We have implemented one of these formulations in the scope of this thesis. This approach was used in [6] to generate an ILP formulation for the Minimum Connected Dominating Set problem. In the scope of the publication four different formulations, all based on creating a spanning tree, were compared (experimentally). This particular formulation outperformes all three others on all six input graphs. With increasing size the difference in the runtime becomes larger.

In the scope of this thesis we therefore only compared this one with the vertex separator version.

The Miller Tucker Zemlin (MTZ) constraints were initially introduced to present an ILP formulation for the Traveling Salesman Problem with only polynomial many constraints. Let $G = (V, E)$ be our undirected input graph. We follow the description from [6] by defining $G_d = (V \cup \{n+1, n+2\}, A)$ as directed graph, whereas $A = \{(n+1, n+2)\} \cup \{\bigcup_{i=1}^{n} (n+1, i), (n+2, i)\} \cup E'$ and $E' = \{(j, i), (i, j) : i, j \in E\}$. Note that E' is the bidirected version of E, that means, we add an arc in both directions for every edge in E. Let $n = |V|$. We create two additional nodes $n+1$ and $n+2$. Additionally we add an arc from $n+1$ and from $n+2$ to every vertex $v \in V$, and we add an arc from $n+1$ to $n+2$. The idea behind the constraints is to create a directed spanning tree $T_d = (V \cup n+1, n+2, E_d)$ on G_d, such that vertex $n+1$ is a root and holds an arc (on T_d) to every vertex, which is not part of D and to $n+2$. While $n+2$ holds an arc to a node v_r within D. All the other nodes form a tree with root v_r.

Let $y_{ij} \forall (i, j) \in A$ be decision variables that specify whether the arc (i, j) is part of the spanning tree T_d. Let $u_i \in \mathbb{Z}_+, \forall i \in V \cup \{n+1, n+2\}$ be auxiliary variables that specify in which step the arc is passed starting from $n+1$. Those auxiliary variables eliminate sub tours as they also do in the Traveling Salesman Problem.

In the following we give a full ILP formulation to enforce connectivity via MTZ constraints.

$$\sum_{i \in V} y_{n+2, i} = 1 \tag{7}$$

$$\sum_{(i,j) \in A} y_{ij} = 1, \forall j \in V \tag{8}$$

$$y_{n+1, i} + y_{ij} \leq 1, \forall (i, j) \in E' \tag{9}$$

$$(n+1) * y_{i,j} + u_i - u_j + (n-1) * y_{ji} \leq n, \forall (i, j) \in E' \tag{10}$$

$$(n+1) * y_{i,j} + u_i - u_j + (n-1) * y_{ji} \leq n, \forall (i, j) \in A \setminus E' \tag{11}$$

$$y_{n+1, n+2} = 1 \tag{12}$$

$$u_{n+1} = 0 \tag{13}$$

$$1 \leq u_i \leq n+1, i \in V \cup \{n+2\} \tag{14}$$

$$x_i = 1 - y_{n+1, i}, \forall i \in V \tag{15}$$

Constraints (7) ensure that there is exactly one root for the dominating set. In our case we replace this inequality by the following: $y : n+2, v_r = 1$ and $y_{n+2, i} = 0, \forall i \in V \setminus \{v_r\}$.
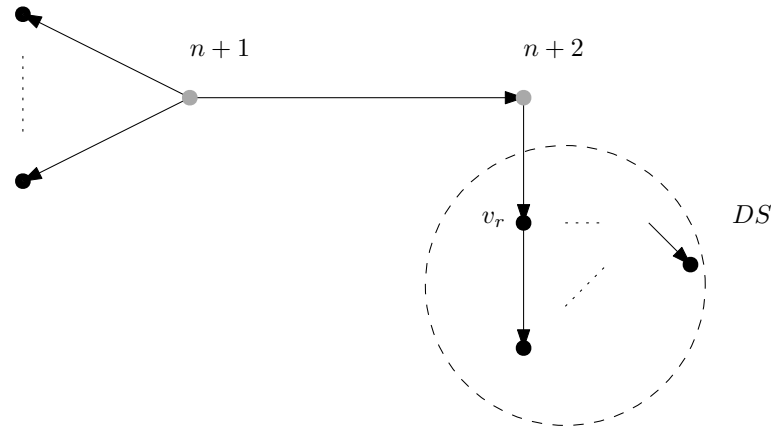
Figure 3: Illustration of the principle. The dashed circle outlines the dominating set. All vertices that are connected to $n + 1$ are not part of the dominating set.

Constraints (8) enforce that each node on the spanning tree T_d has exactly one incoming arc. While constraints (9) require that all the nodes from T_d are either connected to each other or have an incoming arc from node $n + 1$, the node which marks nodes that are not part of D. With the exception of the term $(n-1)y_{ji}$ the constraints (10) and (11) are the original MTZ constraints to eliminate sub tours from [12]. The mentioned term is an improvement from [5]. Constraint (12) demands the arc $(n + 1, n + 2)$ to be included in T_d. Constraints (14) define the value of ranges for the auxiliary variables u_i. As these variables specify in which step the arc to node i is passed, only values from 1 - $n + 1$ (the number of incoming arcs) can be assigned to it. Finally the last constraints (15) ensure that if there is no incoming arc from node $n + 1$ to a node i, then i must be included in D and vice versa.

We combine the above mentioned ILP formulation for MkCDS with this formulation to enforce connectivity. The solution of this formulation then is a optimal connected solution with $v \in D \Leftrightarrow x_v = 1$. As previously mentioned this formulation only needs polynomial many constraints. More precisely there are $(|V|+2)+(2|E|+2|V|+1) = O(|E|+|V|)$ decision variables and $1 + |V| + 2|E| + 2|E| + (2|V| + 1) + 1 + 1 + |V| = O(|E| + |V|)$ constraints.

4.4   Minimum connected k-hop Dominating Set

A minimum connected k-hop dominating set (MCkDS) is a k-hop dominating set D such that $G[D]$ is connected. Its ILP formulation consists of the objective target (1) and constraints (3) and a collection of constraints to induce connectivity.

4.5   Minimum rooted connected k-hop Dominating Set

Let $v_r \in V$ be the predefined root.The ILP-Model of this problem is the ILP-Model of 4.4 enriched with following constraint.

$$x_{v_r} \geq 1 \tag{16}$$

4.6   Additional methods to tighten up the space of feasible solutions

In the scope of this thesis we tested additional constraints that should tighten up the space of feasible solutions further. As it can potentially cost much time to create unconnected solutions we want to prevent unnecessary iterations.

4.6.1   Intermediate node constraint

In the paper about the Steiner Tree Problem [8] one inequality to reduce the number of unconnected feasible solutions is proposed. It demands that for each node in the solution, which is not a predefined terminal, to have two neighbors in the solution. A node that has two neighbors in the solution can be seen as an intermediate node. Let T be the set of all terminals. The inequality can formally be described as

$$2 * x_v \leq \sum_{w \in N(v)} x_v, \forall v \in T$$

Unfortunately this inequality can not be applied to our problem without potentially excluding optimal solutions. By this inequality solutions can be generated which have additional nodes at the end of branches that are not necessary for the MCkDS but that are necessary to fulfill this inequality. In our case we would need to require that for each vertex, which is not at the end of a branch, this inequality needs to be satisfied. But we can not decide which node will be at the end of a branch in advance.

Figure 4: The dashed circle outlines the necessity to have connected triplets at the end of a branch

In figure (4) there is an illustration that compares one optimal solution without this constraint on the left and one with this constraint on the right. On the right hand side the end of a branch is circled to outline the additional node generated by this constraint.

Even if the generated solutions are not inevitably optimal, the generated solutions are close to an optimal solution (in terms of the number of nodes). At the same time this

constraint reduces the runtime in many instances drastically. That is why it can be considered to generate approximative solutions using this constraint. This constraint can also be used to generate a sufficient upper bound in the branch and cut process. But for the most instances this is not necessary as a sufficient upper bound is found quickly. The solving procedure needs much more time to find a sufficient lower bound and to close the gap.

4.6.2   Reduce path length

To exclude such solutions which contain single (unconnected) nodes, that are close to the rim we invented constraints to reduce the length of each path between the nodes of a solution and the root node. The length of each path to an arbitrary node is naturally limited by the number of members of the dominating set. In the extreme there is one single branch which has exactly the length of the number of all members of the dominating set. In the case of more than one branch the upper bound is still valid. On that account we started by following the naive approach to limit the path from the root node to each member of D by the size of D. The formal description is

$$\sum_{v \in V} x_v \geq shortestpath\{v_r, v\}, \forall v \in V \setminus \{v_r\} \tag{17}$$

As this constraint does not reduce the runtime we tried to refine it. There are too many possible (unconnected) solutions where the constraint is satisfied. Figure 5 shows one of it.

$|D^*| = 4$

Figure 5: An unconnected solution where the path length constraint is satisfied.

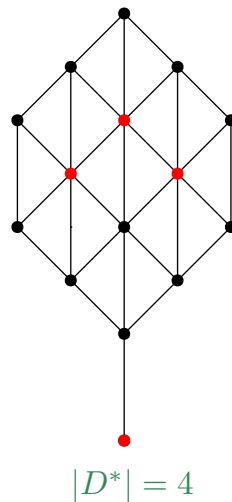This circumstance leads to the following constraint that makes use of the Gaussian sum formula. The idea is still to limit the distance between the root node v_r and all the mem-

bers of D. In this advanced formulation we limit the sum of the distances to $\sum_i^{|D*|} i$.

$$\sum_{v\in V} x_v * shortestpath\{v_r, v\} \leq \frac{(\sum_{v\in V} x_v + 1) + \sum_{v\in V} x_v}{2}, \forall v \in V \setminus \{v_r\} \qquad (18)$$

This constraint cuts off unconnected solutions that are valid using only the previous constraint (17). But as our tests reveal this constraint does not generate a performance boost but even increases the runtime, as it probably adds too much complexity to the model.

4.6.3   Preventively adding separators

We use the lazy approach to prevent that too many constraints are added that are not mandatory to generate sufficient solutions. In despite of this we evaluated if adding particular separator constraints could reduce the runtime. It could have been that a more appropriate LP bound is generated using this approach and unnecessary iterations could be prevented.

5   Implementation

Now, we specify the implementation of the ILP formulations from the Methods section. We implemented the ILP-formulations and Algorithms 1 and 2 using Python version 3.7.5. As branch and cut framework and mixed integer programming solver we use Gurobi version 9.0.2. Gurobi offers a Python interface called *gurobipy* which can be called from inside python scripts. This interface offers access to functions included in Gurobi. Our implementation is embedded in a conda package. The package is called *k_ hop_ dominating_ set_ gurobi*. The source of the package can be found on https://gitlab.cs.uni-duesseldorf.de/albi/albi-students/bachelor-mario-surlemont/. The package itself can be build via

```
conda build .
```

after heading into the directory. To build the package the tool *conda-build* needs to be installed.

Afterwards the package can be installed via

```
conda install --use-local k_hop_dominating_set_gurobi
```

It holds the dependencies *networkX*, *matplotlib.pyplot* and *gurobipy*.

The vertex separator constraints as well as the MTZ constraints can be chosen. The choice can be specified via the optional argument *-mtz*, for the use of MTZ constraints. By default the vertex separators are chosen. If required the additional constraints that have been presented in the method section can also be added to the model via the optional arguments *-imn | -rpl | -gaus | -pre* with rpl as abbreviation for the naive constraint to reduce the path length and gaus as abbreviation for the constraint involving the gaussian sum formula. The argument *-pre* adds separators to the model before the solution process is

started. When the intermediate node constraint is added via *-imn* the generated solutions might not be optimal anymore.

As input networkx graphs stored as ".graphml" or ".gml" can be used. Also ".lp" files from [10] can be used. A full programm call is

```
k_hop_dominating_set_gurobi −g graph.graphml −k k [OPTIONS]
```

with [OPTIONS] = {-mtz, -inm, -rpl, -gaus, -pre}.

If the vertex separators are chosen to induce connectivity a lazy approach is used. Gurobi offers a callback function which is called during the solution procedure when different events occur. The function offers a code that communicates the type of the occured event. When the callback code *MIPSOLVE* is communicated a mixed ILP solution has been generated. That is a solution where those variables that must be integers are integers while those variables which do not need to be integers can be arbitrarily chosen (with respect to the inequalities). As we only have integer variables in our model the *MIPSOLVE* code tells us that an integer solution D^* was generated. In this case we check whether the graph is connected. We use a function that is included in networkx to check if the graph $G[D^*]$ is connected. If not, Algorithm 1 is used to add the corresponding constraints. After a valid solution was found it is plottet via matplotlib.plt. The members of the dominating set are displayed in red while all the other vertices are displayed in green. The console output shows information about the solving process and the solution. Such as the current upper bound and lower bound.

6 Results

This section shows our results of the runtime for the Minimum Connected rooted k-hop Dominating Set problem. We tested the graphs that represent plant leafs from [10] as well as randomly generated graphs and grid graphs. At first we briefly describe the graphs from [10] and our other test graphs. A more detailed description of the leaf graphs can be taken from [10]. All tests have been performed using a notebook with an Intel Core i7-4720HQ CPU @ 2.60GHz x 8 and 8 GB of RAM under Ubuntu 18.04.14 LTS and in all test cases we only looked for one single optimal solution.

As leaf graphs we use the instances *small-leaf*, *middle-leaf*, *bigger-leaf*, *maple* and *asymmetric*. The instances *small-leaf*, *middle-leaf* and *bigger-leaf* are similar in their structure. Each of the three graphs has the root at the bottom side and a symmetrical composition. They only differ in the number of nodes. The smallest graph *small-leaf* has only 15 nodes while *middle-leaf* has 62 nodes and *bigger-leaf* has 71 nodes. While *maple* represents a maple's leaf having 118 nodes, *asymmetric* is inspired by an alocasia leaf. The peculiarity here is that it has the root in the middle of the leaf. It has 378 nodes.

The following figure illustrates the 5 different leaf graphs.

Figure 6: The graphs that represent plant leafs

First of all we introduce a table demonstrating different characteristics of the leaf graphs. The first two columns show the size of the graph, i.e., the number of nodes and edges. The density is shown in the third column. It is a measure that indicates the relative amount of the number of edges a graph has to the theoretical number of edges a graph can maximally have. Additionally the maximal, the average and the minimal node degree is shown. These parameters imply if a graph has at least one node with a much higher degree than the average or if the degrees are equally distributed.

name	|V|	|E|	density	max. degree	avg degree	median degree	min degree
small-leaf	15	30	0.29	6	4	4	1
middle-leaf	62	152	0.08	6	5	6	1
bigger-leaf	71	182	0.07	6	5	6	1
maple	118	308	0.04	7	5	6	1
asymmetric	378	1071	0.02	8	6	6	3

Table 1: The characteristics of the leaf graphs

We then continue with the runtime of our ILP implementation using the leaf graphs as input. The following tables present the runtime in seconds as well as the number of constraints that are lazily added in the solution process (denoted by # C). The last column shows the size of an optimal solution, i.e., the number of nodes that form a minimum dominating set. For the case that the solution process takes more than 1000 seconds we state the upper bound and the lower bound that were determined within this time. The upper bound specifies the smallest solution that was found until the time was over. This means that an optimal solution will not be larger than the upper bound. In contrast the lower bound gives the smallest theoretical possible size of an optimal solution to that time. Let U be an upper bound and L be an lower bound. In the column *optimal* we use the notation $[U, L]$.

name	k	# C	runtime(s)	optimal
small-leaf	1	9	0.01237	6
	2	4	0.007257	3
	3	0	0.007005	2
middle-leaf	1	4945	1099.324462	[22,21]
	2	2043	7.006414	14
	3	811	0.950746	10
bigger-leaf	1	6726	1058.414758	[25, 22]
	2	377	18.178422	15
	3	1266	2.606486	11
maple	1	194321	1129.807776	[41,31]
	2	9621	1074.40126	[26,20]
	3	8029	1532.499756	[20,17]
asymmetric	1	34255	1010.642396	[219, 80]
	2	2706	1065.584708	[161,38]
	3	13947	1026.665897	[63, 22]

Table 2: Minimum Connected rooted k-hop Dominating Set Results on the leaf graphs

With increasing parameter k the runtime decreases significantly. Additionally this table indicates a relation between the number of constraints that are added lazily and the runtime. Besides some outliers it seems like a high number of lazily added constraints implies a higher runtime. The more constraints that are added the more frequent unconnected integer solutions are found in the solution process. This effect occurs especially on input instances that have many symmetrical solutions which are unconnected. If the

input graph only has nodes that have a degree close to the average degree, then more likely this instance has many different symmetrical solutions. In such instances there is no node that is so valuable that it has to be included in the solution. If an unconnected integer solution is generated violated constraints are added to the model. After adding these constraints it most likely is cheaper to swap the nodes and use nodes where no violated constraints have been added yet than to use the same nodes and add those nodes, that the added constraints demand. On graphs where some nodes exist that have a significant higher degree than the average, adding constraints more likely will not exclude them from a solution as they cover to many other vertices. This effect is roughly indicated by the number of lazily added constraints. If only a few constraints were added then there probably will not have been many options to swap valuable nodes without creating to many costs.

With increasing size, i.e., number of nodes a graph has, the density of our graphs decreases. The density of the graph is another indicator that roughly implies the runtime [2]. Especially on graphs with unequal distribution of node degrees. As with increasing size the density decreases on our graphs, the tests on the leaf graphs can not clearly indicate if the size is purely responsible for the runtime or if the density also has an influence. In the following we will test random generated graphs that have different size and for each size ten different levels of density. On this graphs the density clearly is the determining factor for the runtime.

The next table shows the characteristics of the random graphs.

name	|V|	|E|	density	max. degree	avg. degree	median degree	min degree
GNM_ 50_ 122	50	122	0.1	9	5	5	1
GNM_ 50_ 245	50	245	0.2	15	10	9.5	6
GNM_ 50_ 368	50	368	0.3	22	15	15	7
GNM_ 50_ 490	50	490	0.4	28	20	19	13
GNM_ 50_ 612	50	612	0.5	35	24	24	17
GNM_ 50_ 735	50	735	0.6	36	29	30	17
GNM_ 50_ 858	50	858	0.7	41	34	34.5	28
GNM_ 50_ 980	50	980	0.8	44	39	39	34
GNM_ 50_ 1102	50	1102	0.9	49	44	44	38
GNM_ 50_ 1225	50	1225	1.0	49	49	49	49
GNM_ 100_ 495	100	495	0.1	17	10	10	1
GNM_ 100_ 990	100	990	0.2	29	20	19.5	8
GNM_ 100_ 1485	100	1485	0.3	40	30	29	21
GNM_ 100_ 1980	100	1980	0.4	51	40	40	26
GNM_ 100_ 2475	100	2475	0.5	62	50	50	35
GNM_ 100_ 2970	100	2970	0.6	70	59	60	48
GNM_ 100_ 3465	100	3465	0.7	80	69	69	56
GNM_ 100_ 3960	100	3960	0.8	88	79	80	70
GNM_ 100_ 4455	100	4455	0.9	95	89	89	83
GNM_ 100_ 4950	100	4950	1.0	99	99	99	9
GNM_ 250_ 3112	250	3112	0.1	38	25	24.5	14
GNM_ 250_ 6225	250	6225	0.2	67	50	49.5	28
GNM_ 250_ 9338	250	9338	0.3	91	75	75	51
GNM_ 250_ 12450	250	12450	0.4	119	100	100	82
GNM_ 250_ 15562	250	15562	0.5	144	124	124	109
GNM_ 250_ 18675	250	18675	0.6	173	149	150	131
GNM_ 250_ 21788	250	21788	0.7	195	174	174	156
GNM_ 250_ 24900	250	24900	0.8	216	199	199	180
GNM_ 250_ 28012	250	28012	0.9	236	224	224	210
GNM_ 250_ 31125	250	31125	1.0	249	249	249	249
GNM_ 500_ 12475	500	12475	0.1	68	50	50	30
GNM_ 500_ 24950	500	24950	0.2	128	100	100	68
GNM_ 500_ 37425	500	37425	0.3	183	150	150	118
GNM_ 500_ 49900	500	49900	0.4	243	200	200	166
GNM_ 500_ 62375	500	62375	0.5	286	250	250	207
GNM_ 500_ 74850	500	74850	0.6	328	299	299	266
GNM_ 500_ 87325	500	87325	0.7	380	349	350	318
GNM_ 500_ 99800	500	99800	0.8	427	399	399	372
GNM_ 500_ 112275	500	112275	0.9	467	449	450	427
GNM_ 500_ 124750	500	124750	1.0	499	499	499	499

Table 3: The characteristics of the random graphs

We have random graphs of four levels of size ($|V| = 50; 100; 250; 500$). For each of these levels we have ten levels of density (0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0) to explore

particularly its influence on the runtime. In the following table we only present the results for the density levels 0.1, 0.5 and 0.9. The appendix contains the complete tables. The results clearly show that, despite the larger size of the random graphs, the runtime is significantly shorter than on the leaf graphs. The density here seems to be a reasonable parameter that implies the runtime. On dense graphs few nodes are mandatory to form a dominating set. This allows to find an optimal solution faster.

name	k	# C	runtime(s)	optimal
GNM_ 50_ 122	1	66	0.034878	11
GNM_ 50_ 122	2	67	0.03795	11
GNM_ 50_ 122	3	0	0.01651	2
GNM_ 50_ 612	1	0	0.017783	4
GNM_ 50_ 612	2	0	0.002223	1
GNM_ 50_ 612	3	0	0.002541	1
GNM_ 50_ 1102	1	3	0.019566	3
GNM_ 50_ 1102	2	0	0.012025	1
GNM_ 50_ 1102	3	0	0.012196	1
GNM_ 100_ 495	1	113	0.376731	14
GNM_ 100_ 495	2	6	0.108993	4
GNM_ 100_ 495	3	0	0.026969	1
GNM_ 100_ 2475	1	0	0.045136	4
GNM_ 100_ 2475	2	0	0.004791	1
GNM_ 100_ 2475	3	0	0.006448	1
GNM_ 100_ 4455	1	0	0.00505	2
GNM_ 100_ 4455	2	0	0.003927	1
GNM_ 100_ 4455	3	0	0.004094	1
GNM_ 250_ 3112	1	0	1017.303471	[17;15]
GNM_ 250_ 3112	2	0	0.270981	2
GNM_ 250_ 3112	3	14	0.141794	1
GNM_ 250_ 15562	1	0	12.29	5
GNM_ 250_ 15562	2	109	0.257635	1
GNM_ 250_ 15562	3	109	0.267159	1
GNM_ 250_ 28012	1	0	0.024473	2
GNM_ 250_ 28012	2	0	0.018999	1
GNM_ 250_ 28012	3	0	0.023179	1
GNM_ 500_ 12475	1	42	1004.920676	[21;13]
GNM_ 500_ 12475	2	0	1.123904	2
GNM_ 500_ 12475	3	0	0.634489	1
GNM_ 500_ 62375	1	0	178.495614	5
GNM_ 500_ 62375	2	0	0.29011	1
GNM_ 500_ 62375	3	0	0.544754	1
GNM_ 500_ 112275	1	0	0.189313	2
GNM_ 500_ 112275	2	0	0.148031	1
GNM_ 500_ 112275	3	0	0.205316	1

Table 4: Minimum Connected rooted k-hop Dominating Set Results on the random graphs

We also tested another class of graphs on their runtime. The structure of our leaf graphs is similar in the manner that all have a neighborhood of fixed size, in our case six vertices, all are planar and almost all nodes have the same degree. Many grid graphs also have all these characteristics. This is why we tested our implementation also on grid graphs. Here we tested graphs that are quadratic as well as graphs that are more oblong. Especially on quadratic graphs the same behavior like on the leaf graphs occures. Here also comparatively many constraints are added lazily, which indicates that here also many unconnected integer solutions are created. It seems like the "gridness" of a graph is a crucial factor that pushs the runtime over a reasonable extent. The gridness can be defined as the combination of the three described properties from the beginning. On grid graphs the ASP version also performs much better than the ILP version.

The next table gives a short overview over the characteristics of the grid graphs.

name	$|V|$	$|E|$	density	max. degree	avg degree	median degree	min degree
GRID_6_4	24	38	0.14	4	3	3	2
GRID_8_8	64	112	0.06	4	4	4	2
GRID_16_4	64	108	0.05	4	3	3	2
GRID_18_2	36	52	0.08	3	3	3	2
GRID_32_2	64	94	0.05	3	3	3	2

Table 5: The characteristics of the grid graphs

name	k	#C	runtime(s)	optimal
GRID_6_4	1	178	0.054271	11
GRID_6_4	2	74	0.044738	7
GRID_6_4	3	112	0.05603	6
GRID_8_8	1	6451	774.59	26
GRID_8_8	2	865	81.970768	18
GRID_8_8	3	3634	15.546363	15
GRID_16_4	1	31	42.568463	28
GRID_16_4	2	2353	1.405127	17
GRID_16_4	3	2789	7.9726	16
GRID_18_2	1	383	0.116538	18
GRID_18_2	2	394	0.147668	17
GRID_18_2	3	319	0.17269	16
GRID_32_2	1	1090	0.261853	32
GRID_32_2	2	791	0.341931	31
GRID_32_2	3	876	0.286863	30

Table 6: Minimum Connected rooted k-hop Dominating Set Results on the grid graphs

Another important factor that comes along with a long runtime for the ILP version is, when there is a large gap between the number of an unconnected solution for the simple Minimun k-hop Dominating Set problem and the number of a connected solution for the Minumum rooted Connected k-hop Dominating Set problem on the same instance. The

other way round the ILP version performes good on graphs were the gap is small such that only a few nodes need to be added to an unconnected solution.

In the method section we introduced the MTZ constraints to induce connectivity. The next table shows the runtime of three graphs using the MTZ constraints.

name	k	runtime(s)	optimal
GRID_ 8_ 8	2	61.264993	18
middle-leaf	2	1229.65	[14;13]
bigger-leaf	3	197.451639	11

Table 7: Minimum Connected rooted k-hop Dominating Set Results using the MTZ constraints

The version using the vertex separator is in all testes cases many times faster. The version using the MTZ constraints seems not to be a reasonable alternative.

Now we study the case when some of the vertex separator constraints are preadded to the model. We preadd for all combinations c_v of a vertex v and its neighborhood $N(v)$ the vertex separators that separate c_v and the root vertex v_r. As the following table reveals this generates a significant speedup to the runtime. However the *bigger-leaf* instance can still not be solved optimal under 1000 seconds. In nearly all test cases the ASP version still performes better than the ILP version with preadded separators. Only for the *GRID_ 8_ 8* the ILP version performes better. Still many separator constraints need to be added lazily. If these constraints can be identified in advance this could generate another speedup. At this point preadding the described separators itself does not improve the ILP implementation in a manner that the runtime is satisfying.

name	k	# C	runtime(s)	optimal
small-leaf	1	0	0.003599	6
s middle-leaf	1	3699	710.18652	22
bigger-leaf	1	7105	1080.973378	[25, 23]
GRID_ 8_ 8	1	1061	40.536663	26
GRID_ 16_ 4	1	57	27.854317	28

Table 8: Minimum Connected rooted k-hop Dominating Set Results with preadded vertex separator constraints

At last we present tables that show the effect of the additional constraints introduced in the method section on some graphs.

The first table shows the effect of the *intermediate node constraint* from [8]. To recap this constraint demands that every vertex that is part of the dominating set needs at least two neighbors which are also members of the dominating set. Roughly speaking every node of the dominating set (except for the root) needs to be an intermediate node. This constraint reduces the runtime drastically. However in most cases including this constraint adds nodes to the solution that would not be included without. For example the instances *middle-leaf* and *bigger-leaf* have one extra node in the optimal solution when this constraint is included.

name	k	# C	runtime(s)	optimal
small-leaf	1	8	0.011553	6
middle-leaf	1	643	0.723175	23
bigger-leaf	1	1157	1.396552	25
maple	1	1405	439.99668	41
asymmetric	1	4294	1006.370245	[256, 62]

Table 9: Minimum Connected rooted k-hop Dominating Set Results with IMN constraint

The next table shows the results using the naive constraint to reduce the path length from the root to members of the dominating set. It does not reduce the runtime but even increases it. For the cases were we stopped the solution process after a fixed time span the upper bounds and lower bounds are worse than without this constraint. However in some cases this constraint reduces the number of lazily added constraints which is an indicator that the room of possible unconnected solutions is shrunk. But this effect does not reduce the runtime. Probably this constraint adds complexity to the model which increases the runtime instead.

name	k	# C	runtime(s)	optimal
small-leaf	2	9	0.008948	3
middle-leaf	2	109	10.936048	14
bigger-leaf	2	67	23.457956	15
maple	2	5804	1011.766479	[26,20]
asymmetric	2	17391	1114.582689	[190,81]

Table 10: Minimum Connected rooted k-hop Dominating Set Results with SPL constraint

The additional constraint that uses the Gaussian sum formula even performes drastically worse. The runtime increases significantly as this constraints adds a high degree of complexity to the model.

name	k	# C	runtime(s)	optimal
small-leaf	2	8	0.009487	3
middle-leaf	2	457	87.4349	14
bigger-leaf	2	1566	317.235052	15

Table 11: Minimum Connected rooted k-hop Dominating Set Results with GAUS constraint

When using both constraints in conjunction the constraint with the Gaussian sum formula dominates the runtime.

name	k	# C	runtime(s)	optimal
small-leaf	2	0	0.004869	3
middle-leaf	2	1198	87.231026	14
bigger-leaf	2	882	317.309151	15

Table 12: Minimum Connected rooted k-hop Dominating Set Results with SPL and GAUS constraint

As we compare our ILP version to the ASP version from [10] the following tables list the runtime of the different graphs using the ASP version.

We start with our leaf graphs. This table clearly shows that the ASP version performs much better on these graphs. As for example for the *middle-leaf* instance with parameter $k = 1$ the ASP version finds a solution in 154 seconds, after 1100 seconds the ILP version does not find a solution.

name	k	# C	runtime(s)	optimal
small-leaf	1	9	0.008	6
small-leaf	2	4	0.009	3
small-leaf	3	0	0.009	2
middle-leaf	1	4945	153.605	22
middle-leaf	2	2043	0.597	14
middle-leaf	3	811	0.038	10
bigger-leaf	1	6726	1002.022	[25, 24]
bigger-leaf	2	377	1.735	15
bigger-leaf	3	1266	0.069	11
maple	1	194321	1129.807776	[41,31]
maple	2	9621	1008.548	[26,24]
maple	3	8029	1006.839	[21,20]
asymmetric	1	34255	1011.016	[164, 29]
asymmetric	2	2706	1009.839	[102,20]
asymmetric	3	34255	1012.392	[69, 18]

Table 13: Minimum Connected rooted k-hop Dominating Set Results on the leaf graphs using ASP

We continue with the runtime of the ASP version on random graphs. This tables clearly indicate that the ILP version performs better on random graphs.

name	k	runtime(s)	optimal
GNM_ 50_ 122	1	0.014	11
GNM_ 50_ 122	2	5	0.025
GNM_ 50_ 122	3	2	0.022
GNM_ 50_ 612	1	0.055	4
GNM_ 50_ 612	2	1	0.038
GNM_ 50_ 612	3	1	0.041
GNM_ 50_ 1102	1	0.052	3
GNM_ 50_ 1102	2	1	0.052
GNM_ 50_ 1102	3	1	0.051
GNM_ 100_ 495	1	32.451	14
GNM_ 100_ 495	2	4	0.084
GNM_ 100_ 495	3	1	0.082
GNM_ 100_ 2475	1	0.655	4
GNM_ 100_ 2475	2	1	0.151
GNM_ 100_ 2475	3	1	0.163
GNM_ 100_ 4455	1	0.253	2
GNM_ 100_ 4455	2	1	0.220
GNM_ 100_ 4455	3	1	0.227
GNM_ 250_ 3112	1	1017.204	[23;9]
GNM_ 250_ 3112	2	2	0.521
GNM_ 250_ 3112	3	1	0.529
GNM_ 250_ 15562	1	1008.099	[5;4]
GNM_ 250_ 15562	2	1	0.972
GNM_ 250_ 15562	3	1	0.967
GNM_ 250_ 28012	1	3.400	2
GNM_ 250_ 28012	2	1	1.453
GNM_ 250_ 28012	3	1	1.489
GNM_ 500_ 12475	1	1016.396	[29;7]
GNM_ 500_ 12475	2	2	2.314
GNM_ 500_ 12475	3	1	2.297
GNM_ 500_ 62375	1	1006.141	[6;4]
GNM_ 500_ 62375	2	1	4.218
GNM_ 500_ 62375	3	1	4.513
GNM_ 500_ 112275	1	8.705	2
GNM_ 500_ 112275	2	1	6.268
GNM_ 500_ 112275	3	1	6.490

Table 14: Minimum Connected rooted k-hop Dominating Set Results on the random graphs using ASP

On the other hand the ASP version performs better on the grid graphs. This is as we expected.

name	k	runtime(s)	optimal
GRID_ 6_ 4	1	0.009	11
GRID_ 6_ 4	2	0.011	7
GRID_ 6_ 4	3	0.013	6
GRID_ 8_ 8	1	92.739	26
GRID_ 8_ 8	2	1.534	18
GRID_ 8_ 8	3	1.747	15
GRID_ 16_ 4	1	0.281	28
GRID_ 16_ 4	2	0.014	17
GRID_ 16_ 4	3	0.023	16
GRID_ 18_ 2	1	0.010	18
GRID_ 18_ 2	2	0.011	17
GRID_ 18_ 2	3	0.013	16
GRID_ 32_ 2	1	0.015	32
GRID_ 32_ 2	2	0.015	31
GRID_ 32_ 2	3	0.023	30

Table 15: Minimum Connected rooted k-hop Dominating Set Results on the grid graphs using ASP

At very last we want to have a deeper look into one particular aspect. During the solution process upper and lower bounds are determined. Most of the time the ILP version is capable of finding a solid upper bound quickly. The vast majority of the time needed to find an optimal solution is spent on closing the gap to the lower bound (denoted as t_{gap}). To illustrate this the next table shows after what time an upper bound that is 20%, 10%, 5% and 0% different from an optimal solution is found. In the cases were the ASP version performs better it also finds a proper upper bound faster. In the one case where the ILP version performs better it finds an appropriate upper bound faster.

name	type	k	20% (s)	10% (s)	5% (s)	0% (s)	t_{gap} (s)	# C	runtime(s)	optimal
middle-leaf	ILP	1	0	0	0	0	1099	4945	1099.324462	[22,21]
middle-leaf	ASP	1	0	0	0	0	154	-	153.605	22
bigger-leaf	ILP	1	1	4	4	14	1044	6726	1058.414758	[25, 22]
bigger-leaf	ASP	1	0	2	5	5	997	-	1002.022	[25, 24]
GNM_ 250_ 6225	ILP	1	0	0	6	6	894	0	900.64	10
GNM_ 250_ 6225	ASP	1	238	-	-	-	-	-	-	10
GRID_ 8_ 8	ILP	1	0	2	5	599	175	6451	774.59	26
GRID_ 8_ 8	ASP	1	0	0	0	11	81	-	92.739	26

Table 16: Time that is necessary to find appropriate upper bounds

7 Discussion

As already mentioned and as Huynh [10] states, our model has some shortcomings and disregards aspects that influence an optimal venation pattern in real plants. We only focus on minimizing the number of cells that have to be transformed into vein cells, under the condition that the entire leaf can still be supplied with water and nutrients. Doing so the number of photosynthetic active cells and their outcome should be maximized. Our model completely disregards the vein hierarchy and among other things that environmental circumstances also influence the venation pattern [16]. The fact that plants try to minimize their total branch length and the transport distance for nutrients [4] is also disregarded.

As our results reveal neither the ILP implementation nor the ASP implementation are capable of generating solutions for our leaf graphs in a reasonable amount of time. The ILP implementation is incapable of finding an optimal solution in under 1000 seconds for the instance *middle-leaf*, having only 62 nodes, with parameter $k = 1$. The ASP implementation on the other hand needs only 154 seconds to find an optimal solution. However both versions find an appropriate upper bound in less than 1 second. The rest of the solving time is entirely used to close the gap from the lower bound. The instance *GNM_ 500_ 62375* on the contrary has 500 nodes but the ILP implementation finds a solution in 154 seconds, whereas the ASP version can not find an optimal solution after 1000 seconds. The results show the same difference in runtime between the ILP version and the ASP version on other sparse random graphs. Therefore the ILP version seems to perform better on random graphs in general. As the results for the random graphs indicate our ILP implementation might be a reasonable approach applied to other problems which can be modeled with the Minimum Connected rooted k-hop Dominating Set depending on the structure of the input instances.

As well as Huynh [10] made the observation for the ASP implementation that an increasing parameter k reduces the runtime significantly our tests show the same effect using the ILP implementation. For the random graphs and parameter $k = 2$ or $k = 3$ every instance can be solved in less than 1 second. It should also be noted that for most of the instances in this case only a few or even none constraints need to be added lazily. Optimal solutions consist in this case for the most instances only of the single root node or contain a few additional nodes. These results can not unconditionally applied to other real world problems as their graphs can have specific structures that differ from random graphs. Also on our leaf graphs an increasing k implies a better runtime. However in the case of $k = 2$ and $k = 3$ the instances *maple* and *asymmetric* can not be solved in under 1000 seconds. We can not arbitrarily increase the parameter k in our model as vein cells must be in a range of two to three cells from mesophyl cells [13, p. 469]. The runtime of the grid graphs also decreases with increasing k. For this graphs even with $k = 1$ an optimal solution can be found in under 1000 seconds. Admittedly all instances only have 64 nodes. As for the instance *GRID_ 8_ 8* the time to find an optimal solution is 775 seconds it can be assumed that for larger instances the runtime exceeds 1000 seconds.

Using the *intermediate node constraint* reduces the runtime the most. However in the most cases this constraint adds unnecessary nodes to a solution which are not included without using this constraint. Nonetheless it could be considered to use this method to create

approximative solutions. But for this purpose it would be desirable to formally prove that there is a maximal ratio for the amount of extra nodes in relation to an optimal solution over all possible instances. However our results show, at least exemplarily, that in most cases even without this additional constraint in rather short time appropriate upper bounds are established. For the instance *middle-leaf* for example the ILP implementation as well as the ASP implementation find an upper bound in less than 1 second that does not differ from an optimal solution. Thus an approximation for the upper bound does not seem to be necessary. In fact a heuristic that generates an appropriate lower bound is much more desirable as closing the gap to the upper bound takes the major amount of time. Even for the rather large instance *maple* an upper bound that does not differ from the optimal solution using the *intermediate node constraint* is found after 29 seconds. At best this constraint could be used to evaluate how good upper bounds from the solving process are. But for this purpose an approximation factor would be necessary. For the *asymmetric* instance an optimal solution could not be found in under 1000 seconds even using this constraint. According to this there is still need for optimization to create a satisfying implementation using this constraint.

According to the current literature using vertex separators seems to be a favorable method to induce connectivity on graph theoretical problems. Alternative approaches from [6] or [11] were not as succesfull for the corresponding problems in comparison to formulations that use vertex separators. Especially for the Steiner Tree problem Fischetti et al. [8] could achieve good results compared to other approaches. Also Bomersbach et al. [1] could achieve good results for the Connected Maximum Coverage Problem. In [3] and [2] this method was evaluated as promising. For our problem and especially for the graphs that represent our leafs this method is not satisfying. The same applies to quadratical grid graphs. We assume the high number of unconnected integer solutions that are generated in the iteration process as beeing a crucial aspect. These solutions are most likely in some manner symmetrical such that an appropriate symmetry breaker could reduce the runtime drastically.

In general the ASP implementation performs better on our graphs representing the leafs. Huynh [10] mentioned different aspects in the conclusion of her thesis how the ASP implementation can be improved. As this implementation performs better than the ILP implementation so far it might be more reasonable to improve the ASP implementation rather than the ILP.

Another aspect that our tests reveal is that especially on such instances where there is a rather large gap between the size of an optimal unconnected solution and an optimal connected solution the runtime is relatively high. This is probably related to the fact that in such cases many constraints are added lazily, which indicates that there is a high amount of unconnected integer solutions. For the instances where the gap was rather slim the runtime is much better. In the tests from [10] an ILP implementation for the unconnected Minimum k-hop Dominating Set can create solutions much faster than the ASP implementation. This specific superiority is reflected here such that quickly valid solutions could be generated and it only needs to be verified if the solution is connected and otherwise only a few constraints need to be added.

The density has also been exposed as a parameter which highly influences the runtime. On sparse graphs both the ILP implementation and the ASP implementation perform

rather bad. The random graphs instances with 250 and 500 nodes can not be solved under 1000 seconds on rather sparse graphs with parameter $k = 1$. Our leaf graphs are all very sparse such that this effect plays a role as well. With increasing size the density of our graphs even decreases.

Preadding vertex separator constraints has an measurable influence on the runtime. Unfortunately this effect alone can not improve the runtime in a manner that a satisfying implementation for our model can be created. Despite the fact that many constraints were preadded there were still a lot constraints that were added in the iteration process. It could make sense to identify the types of constraints that are still added in the solution process to prevent unnecessary iterations when they are added beforehand. This might lead to a better runtime.

Another approach to improve the implementation can be to add violated constraints not only after integer solutions are created but already when LP relaxations are calculated. This approach was used in [3] and lead to sufficient LP bounds.

Recently a paper was published that compared different ILP formulations for the MWCSP [15]. Rehfeldt et al. [15] compared theoretically and empirically an edge based ILP formulation called Extended Steiner Arborescence Formulation (ESA) with the ILP formulation from [8]. In this paper it is proven that the polyhedron of the ESA is a real subset of the node based formulation from [8]. The computational results show that the ESA outperforms the node based one as the runtime is shorter for most instances. Also with the ESA it is possible to solve previous unsovled instances. It is possible to create an ILP formulation for our model which uses the connectivity inducing constraints of the ESA. The implementation of the ESA is embedded in the upcoming version of *SCIP-Jack*, a **C** based branch-and-cut framework for the Steiner Tree problem. The ESA also needs exponentially many constraints to induce connectivity. As the efficiency and the runtime of a branch-and-cut approach depends on concrete implementation details and used heuristics, it would be necessary to explore the source code and the documentation. There are also several publications that can be found on the official SCIP webpage https://www.scipopt.org/ that can be helpful. It could also be reasonable to combine both approaches, such that an unconnected minimum k-hop dominating set D_t is found at first and afterwards a minimun weight connected steiner tree D with D_t as set of terminals is found. This method could benefit from the facts that our ILP formulation can find unconnected minimum k-hop dominating sets rather quickly and MWCST instances can be solved very fast using ESA. However this might lead to not necessarily optimal solutions.

8   Conclusion

Given the fact that we adopted the model from [10] and only implemented it in another framework, the models shortcomings are still present. It disregards different aspects that play a role in the venation pattern for real plants.

Additionally our implementation, in its current version, is not capable of generating optimal solutions in a reasonable amount of time for the leaf representing graphs. The ASP implementation performs better on these graphs and therefore is the better choice to implement the model. Even after different approaches to reduce the runtime have been evaluated the ASP implementation performs better. Nevertheless there are still approaches to improve the ILP version that can be evaluated.

The next step for the ILP implementation should either be to adapt the edge based ILP formulation ESA and aspects of its implementation from the current SCIP-Jack software, or to improve the formulation of this thesis. It propably can be improved by inventing a symmetry breaker that reduces the number of symmetrical unconnected integer solutions which are determined in the solving process. Additionally it should be evaluated which type of constraints can be further preadded that would otherwise be added anyway in the process. Another important point is to find heuristics that allow to determine sufficient lower bounds faster.

Though it is also reasonable to implement the suggestions from Huynh [10] to further improve the ASP implementation as it outperformes the ILP implementation.

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A   Appendix

Full Tables

ILP

name	k	# C	runtime(s)	optimal
GNM_ 50_ 122	1	66	0.034878	11
GNM_ 50_ 245	1	9	0.07	7
GNM_ 50_ 368	1	0	0.013882	5
GNM_ 50_ 490	1	4	0.016478	4
GNM_ 50_ 612	1	0	0.017783	4
GNM_ 50_ 735	1	3	0.018471	3
GNM_ 50_ 858	1	3	0.038161	3
GNM_ 50_ 980	1	3	0.023549	3
GNM_ 50_ 1102	1	3	0.019566	3
GNM_ 50_ 1225	1	0	0.002396	1
GNM_ 100_ 495	1	113	0.376731	14
GNM_ 100_ 990	1	17	0.488522	8
GNM_ 100_ 1485	1	7	0.396982	6
GNM_ 100_ 1980	1	0	0.315584	5
GNM_ 100_ 2475	1	0	0.045136	4
GNM_ 100_ 2970	1	0	0.013737	3
GNM_ 100_ 3465	1	0	0.010702	3
GNM_ 100_ 3960	1	0	0.007955	2
GNM_ 100_ 4455	1	0	0.00505	2
GNM_ 100_ 4950	1	0	0.00535	1
GNM_ 250_ 3112	1	0	1017.303471	[17;15]
GNM_ 250_ 6225	1	0	900.64	10
GNM_ 250_ 9338	1	0	29.67	7
GNM_ 250_ 12450	1	0	46.78	6
GNM_ 250_ 15562	1	0	12.29	5
GNM_ 250_ 18675	1	0	0.97	4
GNM_ 250_ 21788	1	3	0.415836	3
GNM_ 250_ 24900	1	0	0.040482	3
GNM_ 250_ 28012	1	0	0.024473	2
GNM_ 250_ 31125	1	0	0.017227	1
GNM_ 500_ 12475	1	42	1004.920676	[21;13]
GNM_ 500_ 24950	1	0	1051.277153	[12;8]
GNM_ 500_ 37425	1	0	9.89	4
GNM_ 500_ 49900	1	0	1017.23594	[6;5]
GNM_ 500_ 62375	1	0	178.495614	5
GNM_ 500_ 74850	1	0	9.753998	4
GNM_ 500_ 87325	1	0	21.368156	4
GNM_ 500_ 99800	1	0	0.286309	3
GNM_ 500_ 112275	1	0	0.189313	2
GNM_ 500_ 124750	1	0	0.11	1

Table 17: Minimum Connected rooted 1-hop Dominating Set Results on the random graphs

name	k	# C	runtime(s)	optimal
GNM_ 50_ 122	2	67	0.03795	11
GNM_ 50_ 245	2	9	0.066219	7
GNM_ 50_ 368	2	0	0.008017	1
GNM_ 50_ 490	2	0	0.002605	1
GNM_ 50_ 612	2	0	0.002223	1
GNM_ 50_ 735	2	0	0.002411	1
GNM_ 50_ 858	2	0	0.002486	1
GNM_ 50_ 980	2	0	0.002173	1
GNM_ 50_ 1102	2	0	0.012025	1
GNM_ 50_ 1225	2	0	0.001756	1
GNM_ 100_ 495	2	6	0.108993	4
GNM_ 100_ 990	2	12	0.060489	2
GNM_ 100_ 1485	2	0	0.022559	1
GNM_ 100_ 1980	2	0	0.004219	1
GNM_ 100_ 2475	2	0	0.004791	1
GNM_ 100_ 2970	2	0	0.044863	1
GNM_ 100_ 3465	2	0	0.004259	1
GNM_ 100_ 3960	2	0	0.004273	1
GNM_ 100_ 4455	2	0	0.003927	1
GNM_ 100_ 4950	2	0	0.003468	1
GNM_ 250_ 3112	2	0	0.270981	2
GNM_ 250_ 6225	2	28	0.101028	1
GNM_ 250_ 9338	2	0	0.17136	1
GNM_ 250_ 12450	2	0	0.031756	1
GNM_ 250_ 15562	2	109	0.257635	1
GNM_ 250_ 18675	2	0	0.035879	1
GNM_ 250_ 21788	2	0	0.030358	1
GNM_ 250_ 24900	2	0	0.024402	1
GNM_ 250_ 28012	2	0	0.018999	1
GNM_ 250_ 31125	2	0	0.016561	1
GNM_ 500_ 12475	2	0	1.123904	2
GNM_ 500_ 24950	2	0	0.663096	1
GNM_ 500_ 37425	2	0	0.228299	1
GNM_ 500_ 49900	2	0	0.272308	1
GNM_ 500_ 62375	2	0	0.29011	1
GNM_ 500_ 74850	2	0	0.249534	1
GNM_ 500_ 87325	2	0	0.250321	1
GNM_ 500_ 99800	2	0	0.170296	1
GNM_ 500_ 112275	2	0	0.148031	1
GNM_ 500_ 124750	2	0	0.119448	1

Table 18: Minimum Connected rooted 2-hop Dominating Set Results on the random graphs

name	k	# C	runtime(s)	optimal
GNM_ 50_ 122	3	0	0.01651	2
GNM_ 50_ 245	3	0	0.005787	1
GNM_ 50_ 368	3	0	0.007788	1
GNM_ 50_ 490	3	0	0.002089	1
GNM_ 50_ 612	3	0	0.002541	1
GNM_ 50_ 735	3	0	0.00202	1
GNM_ 50_ 858	3	0	0.001855	1
GNM_ 50_ 980	3	0	0.00213	1
GNM_ 50_ 1102	3	0	0.012196	1
GNM_ 50_ 1225	3	0	0.001661	1
GNM_ 100_ 495	3	0	0.026969	1
GNM_ 100_ 990	3	0	0.022669	1
GNM_ 100_ 1485	3	0	0.022822	1
GNM_ 100_ 1980	3	0	0.004204	1
GNM_ 100_ 2475	3	0	0.006448	1
GNM_ 100_ 2970	3	0	0.044946	1
GNM_ 100_ 3465	3	0	0.004356	1
GNM_ 100_ 3960	3	0	0.004163	1
GNM_ 100_ 4455	3	0	0.004094	1
GNM_ 100_ 4950	3	0	0.003533	1
GNM_ 250_ 3112	3	14	0.141794	1
GNM_ 250_ 6225	3	28	0.106819	1
GNM_ 250_ 9338	3	51	0.205765	1
GNM_ 250_ 12450	3	82	0.03714	1
GNM_ 250_ 15562	3	109	0.267159	1
GNM_ 250_ 18675	3	0	0.036207	1
GNM_ 250_ 21788	3	0	0.042911	1
GNM_ 250_ 24900	3	0	0.038669	1
GNM_ 250_ 28012	3	0	0.023179	1
GNM_ 250_ 31125	3	0	0.020695	1
GNM_ 500_ 12475	3	0	0.634489	1
GNM_ 500_ 24950	3	68	0.947696	1
GNM_ 500_ 37425	3	118	0.288719	1
GNM_ 500_ 49900	3	0	0.405276	1
GNM_ 500_ 62375	3	0	0.544754	1
GNM_ 500_ 74850	3	0	0.265611	1
GNM_ 500_ 87325	3	0	0.270045	1
GNM_ 500_ 99800	3	0	0.404701	1
GNM_ 500_ 112275	3	0	0.205316	1
GNM_ 500_ 124750	3	0	0.225787	1

Table 19: Minimum Connected rooted 3-hop Dominating Set Results on the random graphs

ASP

name	k	runtime(s)	optimal
GNM_ 50_ 122	1	0.014	11
GNM_ 50_ 245	1	0.033	7
GNM_ 50_ 368	1	0.031	5
GNM_ 50_ 490	1	0.050	4
GNM_ 50_ 612	1	0.055	4
GNM_ 50_ 735	1	0.044	3
GNM_ 50_ 858	1	0.050	3
GNM_ 50_ 980	1	0.059	2
GNM_ 50_ 1102	1	0.052	3
GNM_ 50_ 1225	1	0.055	1
GNM_ 100_ 495	1	32.451	14
GNM_ 100_ 990	1	278.296	8
GNM_ 100_ 1485	1	42.545	6
GNM_ 100_ 1980	1	4.049	6
GNM_ 100_ 2475	1	0.655	4
GNM_ 100_ 2970	1	0.226	3
GNM_ 100_ 3465	1	0.208	3
GNM_ 100_ 3960	1	0.234	2
GNM_ 100_ 4455	1	0.253	2
GNM_ 100_ 4950	1	0.246	1
GNM_ 250_ 3112	1	1017.204	[23;9]
GNM_ 250_ 6225	1	1009.124	[12;6]
GNM_ 250_ 9338	1	1009.402	[8;5]
GNM_ 250_ 12450	1	1013.976	[6;4]
GNM_ 250_ 15562	1	1008.099	[5;4]
GNM_ 250_ 18675	1	25.687	4
GNM_ 250_ 21788	1	1.749	3
GNM_ 250_ 24900	1	1.830	3
GNM_ 250_ 28012	1	3.400	2
GNM_ 250_ 31125	1	1.651	1
GNM_ 500_ 12475	1	1016.396	[29;7]
GNM_ 500_ 24950	1	1011.967	[15;4]
GNM_ 500_ 37425	1	1010.582	[10;4]
GNM_ 500_ 49900	1	1007.821	[7;4]
GNM_ 500_ 62375	1	1006.141	[6;4]
GNM_ 500_ 74850	1	597.053	4
GNM_ 500_ 87325	1	621.053	4
GNM_ 500_ 99800	1	13.348	3
GNM_ 500_ 112275	1	8.705	2
GNM_ 500_ 124750	1	8.058	1

Table 20: Minimum Connected rooted 1-hop Dominating Set Results on the random graphs using ASP

name	k	runtime(s)	optimal
GNM_ 50_ 122	2	0.025	5
GNM_ 50_ 245	2	0.030	1
GNM_ 50_ 368	2	0.036	1
GNM_ 50_ 490	2	0.036	1
GNM_ 50_ 612	2	0.038	1
GNM_ 50_ 735	2	0.046	1
GNM_ 50_ 858	2	0.047	1
GNM_ 50_ 980	2	0.049	1
GNM_ 50_ 1102	2	0.052	1
GNM_ 50_ 1225	2	0.048	1
GNM_ 100_ 495	2	0.084	4
GNM_ 100_ 990	2	0.098	2
GNM_ 100_ 1485	2	0.111	1
GNM_ 100_ 1980	2	0.143	1
GNM_ 100_ 2475	2	0.151	1
GNM_ 100_ 2970	2	0.174	1
GNM_ 100_ 3465	2	0.188	1
GNM_ 100_ 3960	2	0.206	1
GNM_ 100_ 4455	2	0.220	1
GNM_ 100_ 4950	2	0.213	1
GNM_ 250_ 3112	2	0.521	2
GNM_ 250_ 6225	2	0.652	1
GNM_ 250_ 9338	2	0.737	1
GNM_ 250_ 12450	2	0.867	1
GNM_ 250_ 15562	2	0.972	1
GNM_ 250_ 18675	2	1.141	1
GNM_ 250_ 21788	2	1.221	1
GNM_ 250_ 24900	2	1.305	1
GNM_ 250_ 28012	2	1.453	1
GNM_ 250_ 31125	2	1.519	1
GNM_ 500_ 12475	2	2.314	2
GNM_ 500_ 24950	2	2.770	1
GNM_ 500_ 37425	2	3.236	1
GNM_ 500_ 49900	2	3.702	1
GNM_ 500_ 62375	2	4.218	1
GNM_ 500_ 74850	2	4.799	1
GNM_ 500_ 87325	2	5.456	1
GNM_ 500_ 99800	2	6.199	1
GNM_ 500_ 112275	2	6.268	1
GNM_ 500_ 124750	2	6.522	1

Table 21: Minimum Connected rooted 2-hop Dominating Set Results on the random graphs using ASP

name	k	runtime(s)	optimal
GNM_ 50_ 122	3	0.022	2
GNM_ 50_ 245	3	0.029	1
GNM_ 50_ 368	3	0.032	1
GNM_ 50_ 490	3	0.039	1
GNM_ 50_ 612	3	0.041	1
GNM_ 50_ 735	3	0.040	1
GNM_ 50_ 858	3	0.041	1
GNM_ 50_ 980	3	0.048	1
GNM_ 50_ 1102	3	0.051	1
GNM_ 50_ 1225	3	0.053	1
GNM_ 100_ 495	3	0.082	1
GNM_ 100_ 990	3	0.101s	1
GNM_ 100_ 1485	3	0.119	1
GNM_ 100_ 1980	3	0.140	1
GNM_ 100_ 2475	3	0.163	1
GNM_ 100_ 2970	3	0.172	1
GNM_ 100_ 3465	3	0.186	1
GNM_ 100_ 3960	3	0.214	1
GNM_ 100_ 4455	3	0.227	1
GNM_ 100_ 4950	3	0.223	1
GNM_ 250_ 3112	3	0.529	1
GNM_ 250_ 6225	3	0.657	1
GNM_ 250_ 9338	3	0.782	1
GNM_ 250_ 12450	3	0.885	1
GNM_ 250_ 15562	3	0.967	1
GNM_ 250_ 18675	3	1.114	1
GNM_ 250_ 21788	3	1.263	1
GNM_ 250_ 24900	3	1.323	1
GNM_ 250_ 28012	3	1.489	1
GNM_ 250_ 31125	3	1.510	1
GNM_ 500_ 12475	3	2.297	1
GNM_ 500_ 24950	3	2.714	1
GNM_ 500_ 37425	3	3.250	1
GNM_ 500_ 49900	3	3.719	1
GNM_ 500_ 62375	3	4.513	1
GNM_ 500_ 74850	3	4.786	1
GNM_ 500_ 87325	3	5.305	1
GNM_ 500_ 99800	3	5.845	1
GNM_ 500_ 112275	3	6.490	1
GNM_ 500_ 124750	3	6.802	1

Table 22: Minimum Connected rooted 3-hop Dominating Set Results on the random graphs using ASP

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